

DECLARATION AND PETITION

As the below named inventor, I hereby declare that:

My residence, post office address and citizenship is as stated below next to my name.

I believe that I am an original, first inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled ***DIAGNOSTIC METHOD USING EXPRESSION OF MN/CA9 PROTEIN IN AGUS PAP SMEARS***, the specification of which is attached hereto.

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, § 1.56(a).

I hereby claim foreign priority benefits under Title 35, United States Code, § 119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed: **NONE**

I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s), **and §119(e) of any United States provisional application(s) listed below** and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, § 112, we acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, § 1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application: August 5, 1999.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Wherefore I pray that Letters Patent be granted to me for the invention or discovery described and claimed in the foregoing specification and claims, and I hereby subscribe my name to the foregoing specification and claims, declaration and petition.

Inventor's signature: 

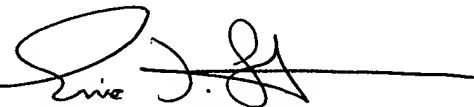
Shu-Yuan Liao, M.D.

Date: November 19, 1999

Residence: Anaheim, CA 92807

Citizenship: Taiwan

Post Office Address: 629 Frontier Court

Inventor's signature: 

Eric J. Stanbridge, Ph.D.

Date: November 19th, 1999

Residence: Corona del Mar, CA 92625

Citizenship: British

Post Office Address: 501 Seaward Road

1279-293/09905416

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Shu-Yuan Liao, M.D. and Eric J. Stanbridge, Ph.D.

Serial No.: 60/147,556

Filed: August 5, 1999

For: **DIAGNOSTIC METHOD USING EXPRESSION OF MN/CA9 PROTEIN
IN AGUS PAP SMEARS****POWER OF ATTORNEY BY ASSIGNEE
AND EXCLUSION OF INVENTOR(S) UNDER RULE 3.71**Asst. Commissioner for Patents
Washington, D. C. 20231

Dear Sir:

The undersigned assignee of the entire interest in the above-identified subject application hereby appoints:

<u>Attorney</u>	<u>Registration No.</u>
Billy A. Robbins	18,313
Robert Berliner	20,121
M. John Carson	25,090
John M. May	26,200
Margaret Churchill	39,944
Terri Sale	P45,066

all attorneys and/or registered patent agents of the law firm of Fulbright & Jaworski LLP, 865 South Figueroa Street, Suite 2800, Los Angeles, California 90017 as its attorneys to prosecute this application and to transact all business in the United States Patent and Trademark Office connected therewith, said appointment to be to the exclusion of the inventors and their attorney(s) in accordance with the provisions of 37 C.F.R. § 3.71.

An assignment of the entire interest in the above-identified subject application:

[] was recorded on _____ at reel/frame ____/____.

009727-00000000

[X] is submitted herewith for recording.

Please send all telephone calls and all correspondence to:

Margaret A. Churchill
Fulbright & Jaworski LLP
865 South Figueroa Street, 28th Floor
Los Angeles, California 90017

Telephone (213) 892-9206

ASSIGNEE: THE REGENTS OF THE UNIVERSITY OF CALIFORNIA

SIGNATURE: Mindy Brooks Date: 12/15/99
TYPED NAME: Mindy Brooks
TITLE: Licensing Associate

ADDRESS: OFFICE OF TECHNOLOGY TRANSFER
1111 Franklin Street, 5th Floor
Oakland, CA 94607-5200

UC Case No. 2000-029-1

605121-00000000

VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS (37 CFR 1.9(f) AND 1.27 (d)) - NONPROFIT ORGANIZATIONDocket No.
1279-293/09905416

Serial No.

60/147,556

Filing Date

August 5, 1999

Patent No.

n/a

Issue Date

n/a

Applicant/ Shu-Yuan Liao, MD and Eric J. Stanbridge, Ph.D.
Patentee:Invention: **DIAGNOSTIC METHOD USING EXPRESSION OF MN/CA9 PROTEIN IN AGUS PAP SMEARS**

I hereby declare that I am an official empowered to act on behalf of the nonprofit organization identified below:

NAME OF ORGANIZATION: The Regents of the University of CaliforniaADDRESS OF ORGANIZATION: 1111 Franklin Street, 12th Floor
Oakland, CA 94607-5200**TYPE OF NONPROFIT ORGANIZATION:**

- ☒ University or other Institute of Higher Education
- ☐ Tax Exempt under Internal Revenue Service Code (26 U.S.C. 501(a) and 501(c)(3))
- ☐ Nonprofit Scientific or Educational under Statute of State of The United States of America
Name of State: Citation of Statute:
- ☐ Would Qualify as Tax Exempt under Internal Revenue Service Code (26 U.S.C. 501(a) and 501(c)(3)) if Located in The United States of America
- ☐ Would Qualify as Nonprofit Scientific or Educational under Statute of State of The United States of America if Located in The United States of America
Name of State: Citation of Statute:

I hereby declare that the above-identified nonprofit organization qualifies as a nonprofit organization as defined in 37 C.F.R. 1.9(e) for purposes of paying reduced fees to the United States Patent and Trademark Office regarding the invention described in:

- ☒ the specification to be filed herewith.
- ☐ the application identified above.
- ☐ the patent identified above.

I hereby declare that rights under contract or law have been conveyed to and remain with the nonprofit organization with regard to the above identified invention.

If the rights held by the above-identified nonprofit organization are not exclusive, each individual, concern or organization having rights to the invention is listed on the next page and no rights to the invention are held by any person, other than the inventor, who could not qualify as an independent inventor under 37 CFR 1.9(c) or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

Each person, concern or organization to which I have assigned, granted, conveyed, or licensed or am under an obligation under contract or law to assign, grant, convey, or license any rights in the invention is listed below:

- ☒ no such person, concern or organization exists.
☐ each such person, concern or organization is listed below.

FULL NAME	N/A		
ADDRESS			
	<input type="checkbox"/> Individual	<input type="checkbox"/> Small Business Concern	<input type="checkbox"/> Nonprofit Organization
FULL NAME	N/A		
ADDRESS			
	<input type="checkbox"/> Individual	<input type="checkbox"/> Small Business Concern	<input type="checkbox"/> Nonprofit Organization
FULL NAME	N/A		
ADDRESS			
	<input type="checkbox"/> Individual	<input type="checkbox"/> Small Business Concern	<input type="checkbox"/> Nonprofit Organization
FULL NAME	N/A		
ADDRESS			
	<input type="checkbox"/> Individual	<input type="checkbox"/> Small Business Concern	<input type="checkbox"/> Nonprofit Organization

Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING: Mindy Brooks
TITLE IN ORGANIZATION: Licensing Associate, Office of Technology Transfer
ADDRESS OF PERSON SIGNING: **OFFICE OF TECHNOLOGY TRANSFER**
1111 Franklin Street, 5th Floor
Oakland, CA 94607-5200

SIGNATURE:  DATE: 12/15/09